

OTC3-01-06

Abst. 1761

# A Phase I/IIa Study of the Whole-Cell Vaccine Briavax™ in Metastatic or Locally Recurrent Breast Cancer Patients (NCT00095862)



Wiseman CL, and Lacher M; BriaCell Therapeutics Corp., 820 Heinz Ave., Berkeley, CA. 94710

## Abstract

**BACKGROUND:** Briavax™ (formerly SV-BR-GM-1) is a breast cancer cell line transfected to release GM-CSF. Under FDA BB-IND 10312, the vaccine was tested in 3 metastatic breast, 1 metastatic ovarian cancer patients refractory to previous therapy. Patients received 20 million viable cells ID at 4 sites 48-72 hours after low-dose cyclophosphamide, 300 mg/m<sup>2</sup>. Mean cell count was 22.8 x10<sup>6</sup> (18.8-27.6). Mean viability was 90.6%, (84-94%). Interferon-alpha (10,000 u) was injected into each inoculation site after 48 and 96 hrs. The protocol permitted 3 inoculations at 2 week intervals, then, if not showing clearly progressive disease, 3 more inoculations monthly. All patients were stable after 3 injections (2 months). However, Pt A002 enjoyed complete tumor regression of a progressing lung metastasis and near-complete response of multiple breast lesions (see below). Nonetheless, she relapsed widely 3 months after finishing the sixth and final injection per protocol. After obtaining FDA permission inoculations resumed. All metastases, including CNS, again showed prompt but subtotal regression after 3 immunizations (see Wiseman C and Kharazi A; The Breast Journal 2006). Toxicity was minimal and the overall survival of the 4 patients was 35 months.

**TRIAL DESIGN:** 9 patients will be accrued, toxicity (and also response) will be reviewed; unless there are prohibitive serious adverse events, 15 more patients will then be accrued.

**ELIGIBILITY:** Inclusion Criteria: Patients must have histological confirmation of breast cancer with recurrent and/or metastatic lesions via investigational site. Patients with new or progressive breast cancer metastatic to brain will be eligible if they meet other conditions. Patients must be 18 years of age or older, have expected survival of at least 4 months, adequate performance status (ECOG 0-2). Patients may be maintained on hormonal therapy provided there is clear evidence of tumor progression and have provided written informed consent.

**Exclusion Criteria:** Concurrent or recent chemotherapy (within 3 weeks), XRT within 3 weeks, may have had immunotherapy in the past (off within 3 weeks), or general anesthesia/major surgery (within 3 weeks). Patients must have recovered from all known or expected toxicities from previous treatment and passed a treatment-free "washout" period of 3 weeks before starting this program (8 weeks for persons receiving nitrosourea or mitomycin). History of clinical hypersensitivity to GM-CSF, interferon, yeast, beef, or to any components used in the preparation of the experimental vaccine. Additional criteria to be provided on request.

**SPECIFIC AIMS:** To evaluate the number, frequency, duration, and relation of toxicity events to Briavax™ (formerly designated as SV-BR-1-GM), as defined by CTCAE and additional tests; to evaluate tumor response and durability; to assess immune responses to vaccine; to archive blood and urine for future analysis; to measure quality of life with the SF-36 questionnaire.

## Demographics

ID #	Age	ETHNICITY	PARITY	ECOG	MENOPAUSE	TNM Stage	Her2/neu	ER/PR	Sites of Disease
A001	73	Hispanic	G3P1AB2	1	Yes	T1N0M0	+	Neg/Neg	Chest wall, Axilla
A002	58	Caucasian	G4P3AB1	1	Yes	T2N3M1	-	ER+/PR+	Breast, Lung
A003*	74	Caucasian	G2P2	1	Yes	T4	-	NA	Pelvis
B001	61	Caucasian	NA	2	Yes	T2NXM1	-	ER+/PR+	Rib cage, Humerus, Hip, Femur

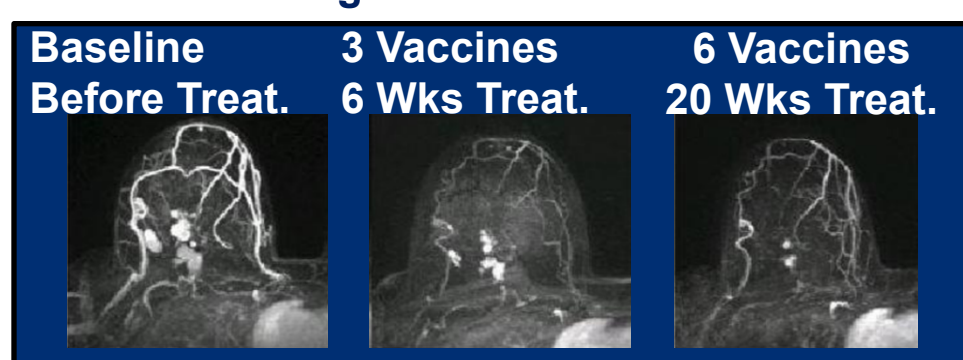
\*Ovarian Cancer Patient

Seventh Ann. Immunotherapy Immuno-monitoring Conf. San Diego, CA Jan 27, 2015

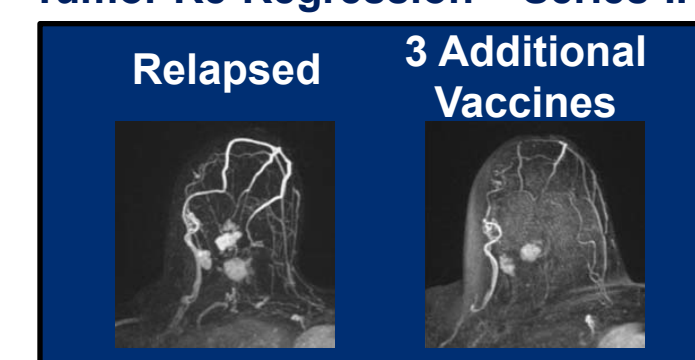
## Response in Patient A002 and CD40L

### Radiologic

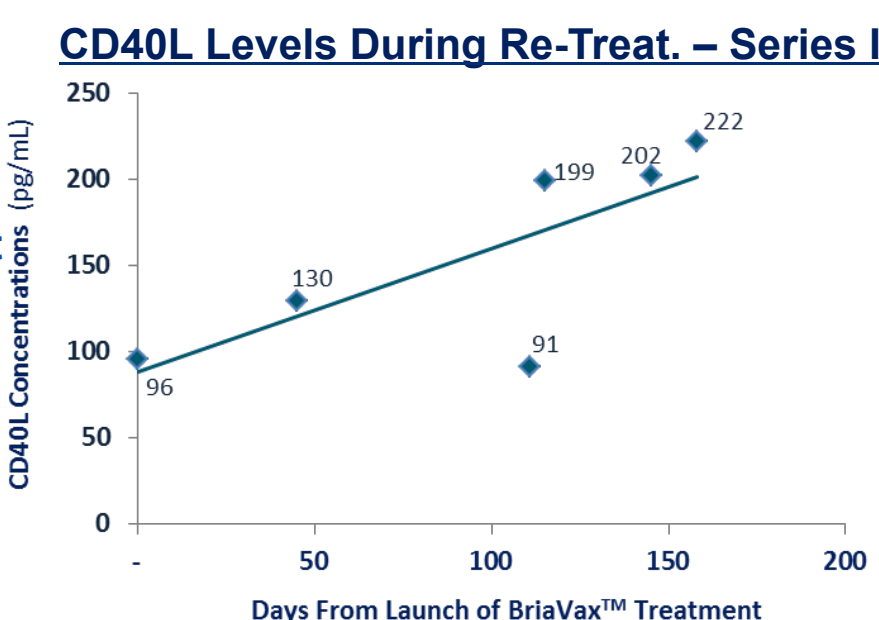
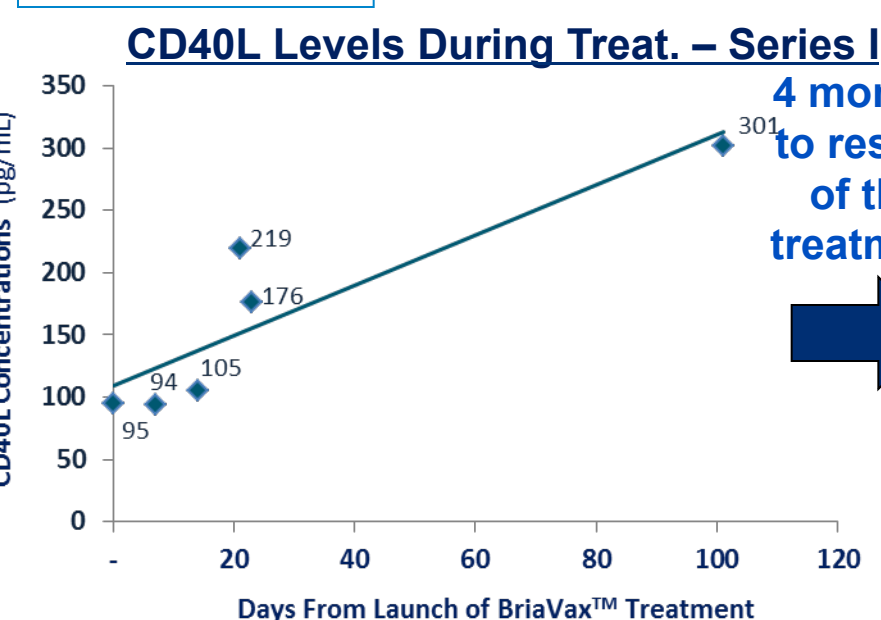
#### Tumor Regression – Series-I



#### Tumor Re-Regression – Series-II

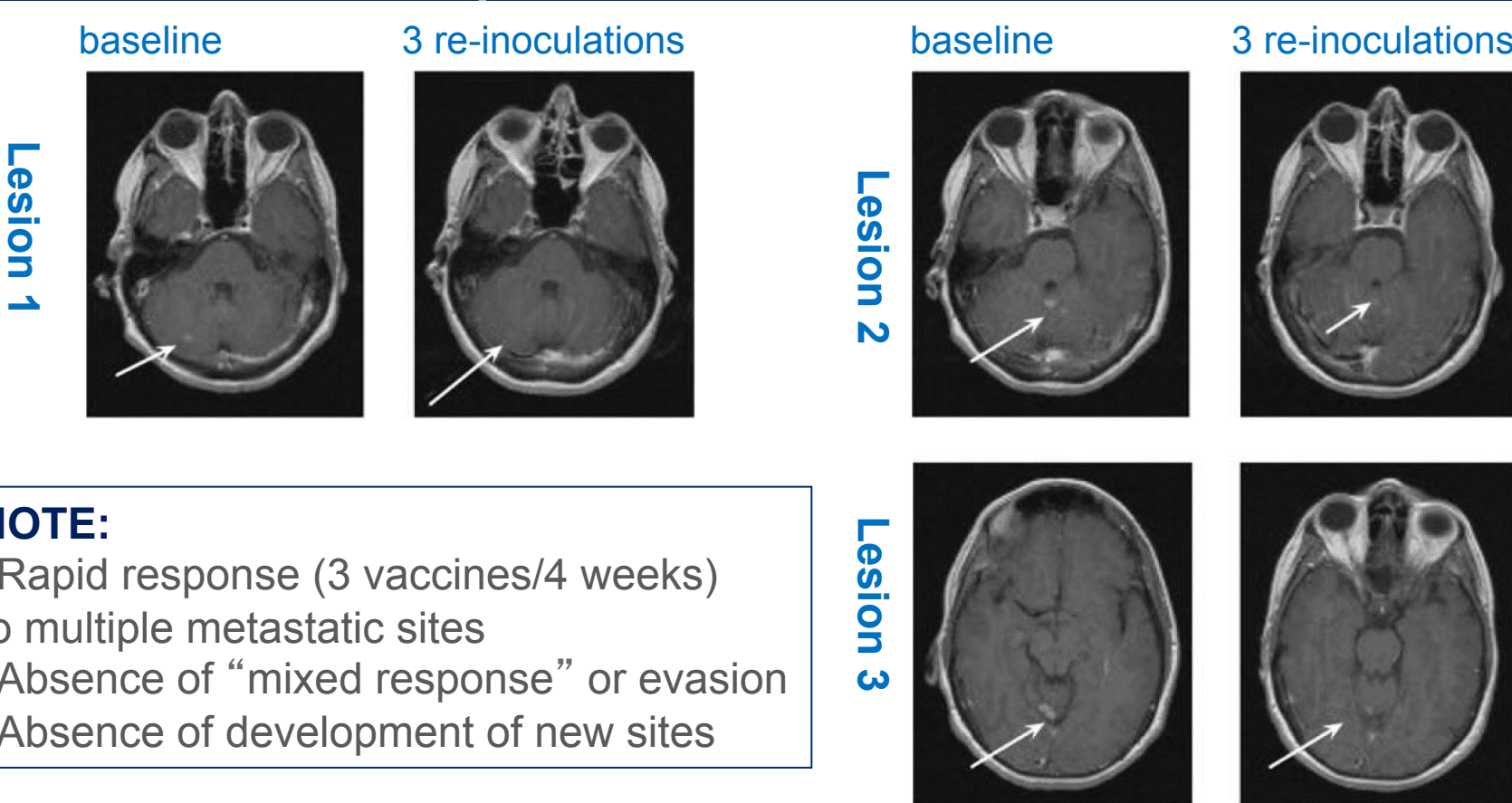


### CD40L Levels



Source: Wiseman C. et al 7<sup>th</sup> Ann. Immunotherapy Immuno-monitoring Conf. San Diego, CA Jan 27, 2015

## Response in CNS Mets



### NOTE:

- Rapid response (3 vaccines/4 weeks) to multiple metastatic sites
- Absence of "mixed response" or evasion
- Absence of development of new sites

Source: Wiseman C. and Kharazi A., Breast J. 2006 Sep-Oct;12(5):475-80

## Molecular Biology Findings

- Briavax™ cell line displays both HLA Class I and II alleles, & the responding patient matched in both categories
- Briavax™ expresses tumor-associated antigens, including HER2/neu, PRAME, and others
- The Briavax™ cell line displays immune markers, including CD83, CD74, IL6
- Serum CD40L levels correlated with clinical response & relapse, re-response

## HLA Alleles

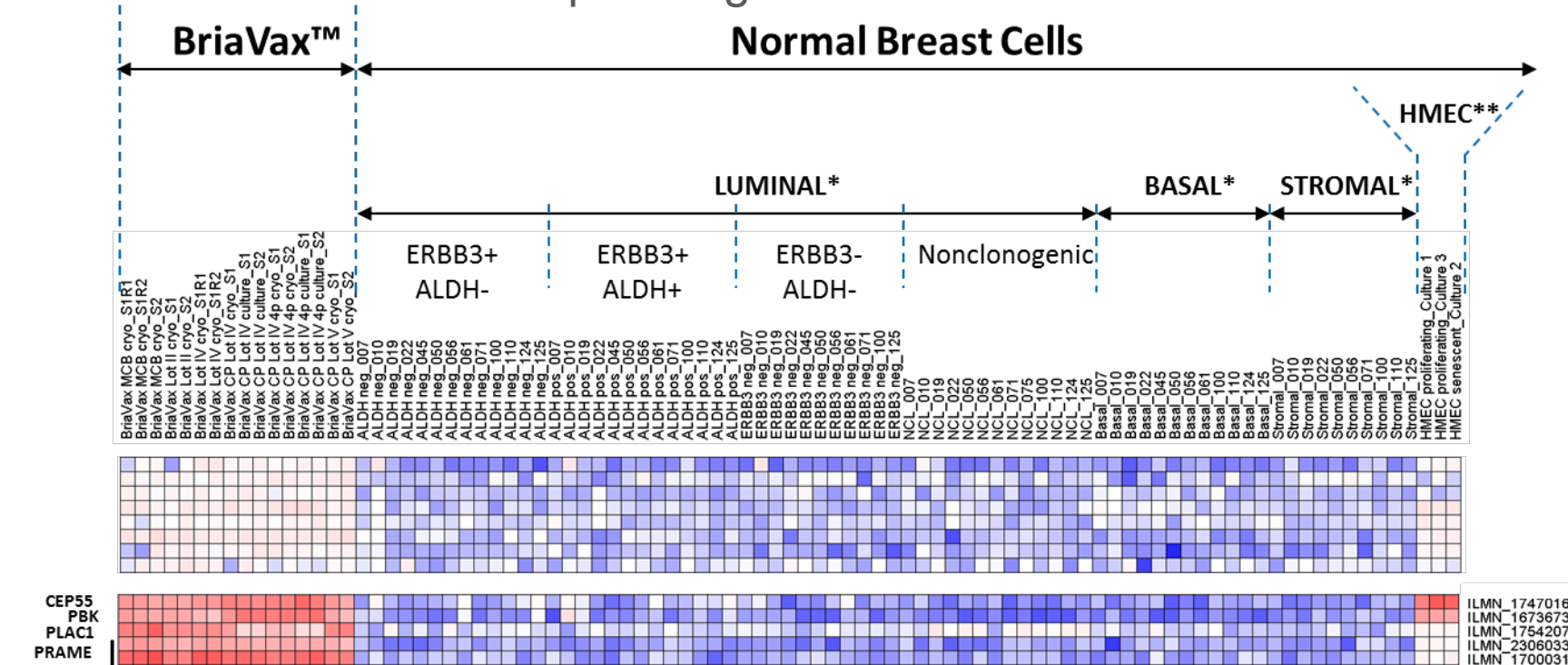
Subject ID	Tumor Type	Survival (months)	Tumor regression	HLA-A	HLA-B	HLA-DRB3
A001	Breast	40.7	No	02:01 24:02	13:02 41:01	03:01 -
A002	Breast	33.7	Yes	02:01 11:01	18:03 44:02	02:02 -
A003	Ovarian	35.6	No	02:01 03:01	07:02 13:02	Negative -
B001	Breast	7.0	No	11:01 -	35:01 40:01	Negative -
Briavax	Breast	N/A	N/A	11:01 24:02	35:08 55:01	01:01 02:02

**Notes:** Briavax™ and Subject A002 with tumor regressions even at metastatic sites (reported in Wiseman and Kharazi, 2006) share both MHC class I (HLA-A) and class II (HLA-DRB3) alleles.

Source: M. Lacher et al., AACR 2016

## Antigenic Expression: Cancer/Testis AG

- **Cancer /Testis Antigens (CTAs):** A class of cancer tissue specific antigens
- **PRAME:** A CTA expressed in several malignancies, including some breast cancers. PRAME is also expressed in Briavax™.
- Briavax™ injection may activate PRAME-specific T cells and thereby cause destruction of PRAME-expressing tumors.

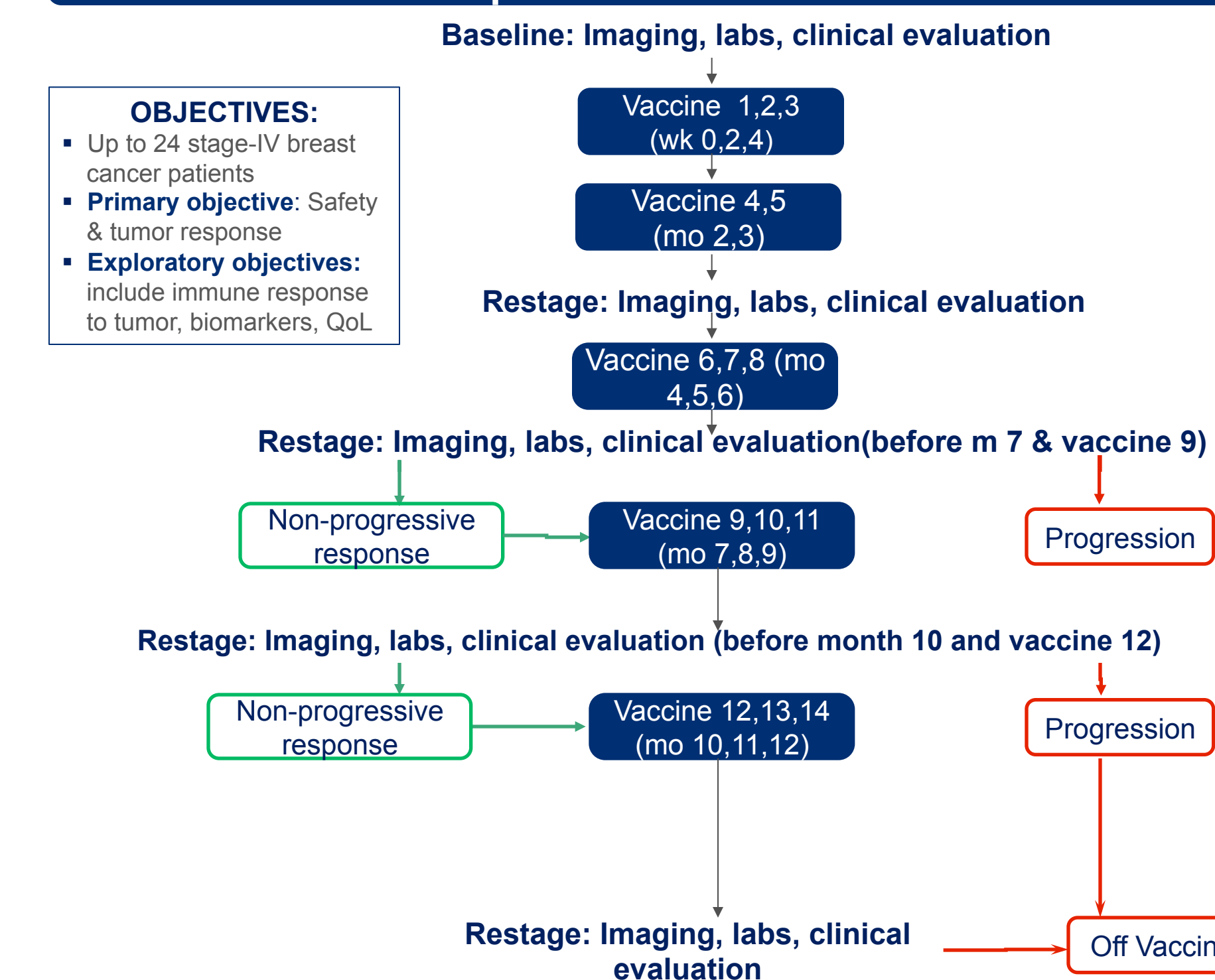


\*Shehata et al. (2012). GEO DataSet GSE35399.  
\*\*Lowe et al. (2015). GEO DataSet GSE56718.

Illumina Microarray Platform

Source: M. Lacher et al., AACR 2016

## Experimental Plan



## Pending Trials to Come

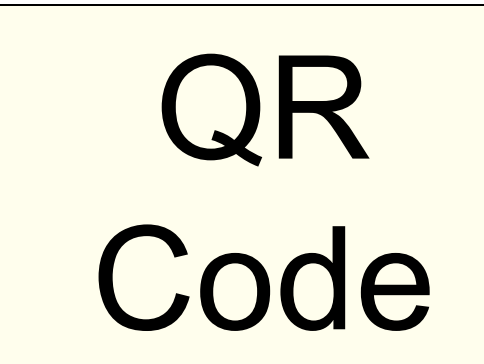
- Combination with Checkpoint Inhibitors
- Dose-Ranging exploration
- Schedule variations

## In Appreciation

**Principal Investigator:** Jerrod Holmes MD, St. Joseph Medical Group, 3555 Round Barn Circle, Santa Rosa California 95403

**CRO:** Cancer Insight, Inc. San Antonio, TX

**Acknowledgements:** Dr. Gerhard Bauer, Dr. Saeid Babaei, Dr. William Williams, St. Vincent Medical Center, Dr. Alex Kharazi, Dr. George Peoples for advice and guidance



## Clinical Data To-Date

### First Phase I:

- Used unmodified cell line + subcutaneous GM-CSF/cyclophosphamide
- N = 14 late stage, treatment-refractory breast cancer patients
- No life-threatening adverse reactions, well tolerated
- Median Overall Survival = **12.1 months**

### Second Phase I:

- Used GM-CSF-engineered cell line + CY/ interferon-α
- N = 4 late stage, treatment-refractory (3 breast cancer, and 1 ovarian cancer) patients
- No life-threatening adverse reactions, well tolerated
- Median Overall Survival = **35 months**
- One robust responder with **>90% regression during treatment**,
- subsequent relapse (upon halting treatment) **responded to re-treatment**